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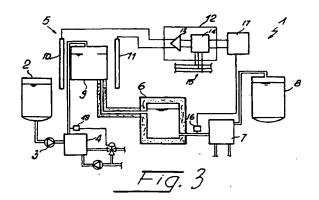
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- (9) Method and apparatus for preserving biological products.
- 57 The method includes the steps of: preheating a selected volume of product to a temperature proximate to the maximum temperature of the treatment; irradiating the product with an alternating electromagnetic field in order to heat the product to approximately 50 °C; maintaining the product at a substantially constant temperature for a set time; cooling the product to a temperature close to the packaging temperature. An apparatus for performing the above method includes a pair of facing emitting surfaces (10,11) connected to the terminals of an oscillator (12) operating below 1 GHz and set to heat the product to a maximum temperature of approximately 50°C. The apparatus also includes a thermally insulated region to maintain the product at the maximum temperature of the treatment for a selected time adapted to ensure the complete destruction of the bacterial and sporal loads.



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The present invention relates to a method and an apparatus for sterilizing and/or pasteurizing nonpackaged biological products, containing bacterial and sporal loads, particularly in the liquid or viscous state, in order to extend their shelf life.

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The biological products involved are mainly constituted by food of animal or vegetable origin, such as milk and its by-products, eggs, creams, wine, fruit juices and pulps, and preserves prior to their packaging in appropriate containers or packages. However, non-alimentary biological compounds, for example for pharmacological and medical use, such as serum, blood and hemoderivatives in the loose state, prior to their packaging, may also be preserved according to the present invention

The main components of the above mentioned biological products, and in particular of the alimentary ones, comprise water, sugars, mineral salts, fats, vitamins, biochemical compounds, such as enzymes, antibodies and hormones, together with biological components of microbial and viral origin. For example, milk is an emulsified solution of lactose, casein, fats and of some mineral salts in a water content of over 85%. Pathogenic microorganisms present in this food constitute the so-called bacterial flora, which comprises, among others, Microbacterium tuberculosis and a wide range of viruses, including the poliomyelitis virus.

The problem which must be solved by means of the sterilization and/or pasteurization of these products essentially consists in attenuating the pathogenic and enzyme activity of the micro-organisms while respecting the active principles which determine the original organic and organoleptic properties.

Known preservation methods used on an industrial scale are of the physical, chemical-physical, chemical and biological types. In particular, physical methods comprise refrigeration, heat transmission or drying.

Among the above mentioned methods, processes based on the application of heat are by far the most valid and widespread in solving the above mentioned problems. Heat application conditions depend not only on the type of product to be treated but also on the types of micro-organism contained therein and finally on the simultaneous use or non-use of other preservation processes. The degree of heat resistance of the micro-organisms must be related both to external and environmental factors, such as the initial microbial concentration of the medium, the characteristics of said medium and the time and temperature parameters, and to intrinsic factors, such as the heat resistance of the germs.

Sterilization destroys all the micro-organisms present in a products by heating the product up to

temperatures comprised between 65 and 121°C for a time comprised between 5 and 12 minutes.

Pasteurization comprises a moderate heat treatment in order to destroy most, but not all, of the bacterial flora by using temperatures below 100°C, generally comprised between 60 and 75°C.

In both cases, the temperature and duration of the heat treatment depend on the heat application method and on the type of product. Furthermore, at the end of the treatment the product must be subjected to the fastest possible cooling down to temperatures below 35 °C before being introduced in sterilized containers.

In the sterilization process as well as in the pasteurization process, heat can be applied with an indirect exchange, in which the product and the heating medium are separated by the wall of an exchanger, or with a direct one, in which the product and the heating medium are in direct contact.

Current thermal preservation methods are the most important from the industrial point of view, but they have some problems.

In order to increase the effectiveness of sterilization, it is in fact necessary to raise the maximum temperature of the process, with the consequence of damaging the product from the organoleptic point of view, sometimes giving it a cooked or burnt taste or reducing its natural taste and aroma. In non-alimentary products, high temperatures can destroy essential enzymes and proteins.

Furthermore, in indirect-transmission systems the heat is transmitted from outside inward, so that it is necessary to increase the temperature of the exchange surface in order to destroy the microorganisms even in the innermost regions. This can produce a partial non-uniformity and ineffectiveness of the process.

In direct-exchange systems, the heating medium is generally constituted by steam, which has the disadvantage of condensing inside the product itself.

Electronic preservation apparatuses having no exchange surfaces have recently been provided and are based on the following principle.

As is known, micro-organisms, like all living organisms, are poor heat and electrical conductors. Because of this, the application of heat to these organisms is difficult and slow, and also occurs unevenly. In practice, due to their low electrical conductivity, micro-organisms behave like dielectric particles which align in an external magnetic field.

Figures 1a and 1b schematically illustrate the structure of a pathogenic micro-organism A, for example constituted by a unicellular organism which has a generally spherical shape, when it is not subjected to any magnetic or electric field. If

the micro-organism A is placed between the plates B and C of a capacitor suitable for generating an electric field with lines of force which are substantially perpendicular to the surfaces of said plates, it is observed that its approximately circular contour deforms and assumes the configuration A' due to the migration of charges with opposite signs toward its ends. Therefore, part of the energy of the field is transferred to the micro-organism as deformation work and part is transformed into kinetic energy, which increases the micro-organism's molecular agitation and therefore its temperature. Collisions due to molecular agitation and the deformation work tend to weaken or break the atomic bonds of the molecules of the microorganism 1, altering its structure irreversibly. In Figure 2b, the orientation of the electric field generated by the plates B and C is reversed, and consequently the molecular dipole also undergoes a change in shape and orientation, assuming the configuration A" which is symmetrical to the preceding one. High-frequency oscillation of the electric field generated by the plates B and C therefore produces corresponding structural modifications of the molecules of the pathogenic microorganisms, accompanied by mild heating, causing their complete degeneration at certain resonance frequencies.

By using the above described physical principle, electronic preservation methods entail the immersion of the product in a high-frequency alternating electric or electromagnetic field for a time sufficient to cause the structural degeneration of the pathogenic microorganisms.

The Japanese patent application, publication No. 2-211855 filed on February 10, 1989, describes a method and an apparatus for sterilizing an alimentary liquid by irradiation with high-frequency electromagnetic waves.

In this known method, the radiation is constituted by microwaves at frequencies higher than 1 GHz emitted by a magnetron oscillator and are transmitted axially inside a waveguide, with very short irradiation times in the range of a few seconds. Due to the high frequency and to the limited wavelength of the electromagnetic waves, shielding is necessary in order to protect the personnel that works in the neighbouring area. The intense heating caused by the microwaves furthermore forces to perform extremely short treatments in rapid succession, each of which is followed by intense cooling in order to keep the product below the temperature at which its organic and organoleptic properties change.

Patents US-A-2 576 862, US-A-3 272 636, FR-A-2 547 732 and DE-A-2 628 234 describe other methods and apparatuses which use electromagnetic waves with frequencies comprised within the ranges of microwaves and/or radio frequencies.

These known methods and apparatuses are applied to already-packaged products and always require appropriate shielding against emissions which are harmful to the human body.

Furthermore, since the destructive action of the alternating electromagnetic field affects not only the pathogenic micro-organisms but also the active principles which determine the organoleptic properties of the products to be preserved, these known methods and apparatuses reduce said organoleptic properties, reducing the value of the active principles.

The aim of the present invention is to obviate the problems described above by providing a method and an apparatus for the continuous sterilization and/or pasteurization of non-packaged biological alimentary and non-alimentary products, particularly in the liquid or viscous state, which allows complete and uniform preservation in conditions of high reliability, leaving the organic and organoleptic properties of the treated products unchanged.

A further object is to provide a method and an apparatus which do not require the use of specific shielding, so that they can be used in combination with, or in replacement of, conventional thermal apparatuses.

Another object of the present invention is to provide an apparatus which is constructively simple and economical, so as to be affordable even for small user or producer companies.

This aim, these objects and others which will become apparent hereinafter are achieved by a method and an apparatus respectively according to claims 1 and 5.

The method and apparatus according to the invention obtain sterilization and/or pasteurization at temperatures which are assuredly lower than the survival temperatures of the active principles which determine the organoleptic properties of the treated products.

Furthermore, by means of an apparatus according to the invention, the preservation of the products is absolutely uniform, regardless of whether the process is performed continuously or discontinuously.

Further characteristics and advantages will become apparent from the detailed description of a method and a device according to the invention, illustrated only by way of non-limitative example in the accompanying drawings, wherein:

Figures 1a and 1b schematically illustrate the structure of a pathogenic micro-organism;

Figure 2 is a qualitative diagram of the correlation between the relative dielectric constants of the components present in the products to be preserved and the corresponding resonance frequencies of the alternating electromagnetic field;

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Figure 3 is a schematic view of a first embodiment of an apparatus according to the invention; Figure 4 is a schematic view of a detail of a second embodiment of the apparatus according to the invention;

Figure 5 is a view of a detail of a third embodiment of the apparatus according to the invention:

Figure 6 is a view of a further embodiment of the apparatus according to the invention.

The method according to the invention is applied continuously to a given volume or to a given flow-rate of product which contains bacterial or sporal loads.

The method comprises a first step of preheating of the product with conventional thermal methods up to a temperature proximate to the treatment's maximum temperature, for example comprised between 40°C and 45°C, followed by a step of irradiation with an electromagnetic field. In particular, the field is generated in an open space comprised between a pair of facing emitting surfaces which are connected to the terminals of an oscillator which operates in the range of radio frequencies below 1 GHz. The radiant energy E and the irradiation time t_i are chosen so as to raise the product to a maximum temperature of approximately 50°C, such as to not alter the organic and organoleptic qualities of the product.

This is followed by a step of maintenance of the product for a time $t_{\rm s}$ at a substantially constant temperature which is equal to the maximum temperature of the process, in order to allow the complete destruction of the micro-organisms contained in said product.

The product is finally subjected to a step of cooling down to temperatures suitable for its packaging in sterile conditions.

Figure 2 qualitatively indicates the correlation between the electrical conductivity of the groups of organisms contained in the products and their resonance frequencies. Groups of coliform bacteria, sporogenous bacteria and proteins, respectively designated by I, II and III, which have relative dielectric constants $\epsilon 1$, $\epsilon 2$, $\epsilon 3$, are matched by resonance frequencies f1, f2 and f3. By using an alternating field with frequencies lower than the protein resonance frequencies, it is possible to ensure the constancy of the organic and organoleptic properties of the products to be preserved. Tests and examinations have shown that the most suitable frequencies are radio frequencies comprised between 6 MHz and 1 GHz, the use of which is allowed by currently applicable international statutory provisions for industrial radio-frequency heaters. The best results have been obtained with frequencies comprised between 6 MHz and 915 MHz. The best results for each individual product are naturally obtained at a very specific frequency. For example, excellent results are obtained for milk with frequencies around 27 MHz.

The specific energy of the electromagnetic field required to cause a δT of less than 10 °C, including the unavoidable dispersions for current transformation and for the auxiliary equipment, can be comprised between 0.01 KW/I (10 KW/m³) and 0.10 KW/I (100 KW/m³). It should preferably be comprised between 0.02 KW/I (20 KW/m³) and 0.04 KW/I (40 KW/m³).

Preservation may optionally be performed in a plurality of repeated steps or passes, performing intermediate coolings between the successive passes.

In a practical case, by preheating a flow-rate of milk of approximately 1000 l/h to approximately 40°C and irradiating it with radio waves at a frequency of 27 MHz and with an energy of 15 KW for an exposure time t_i of approximately 25 seconds until its final temperature rose to 50°C, and by subsequently maintaining the product at a constant temperature of approximately 50°C for approximately 30 seconds, it has been possible to achieve the complete destruction of the bacterial flora and of the main pathogenic viruses, leaving the organic and organoleptic properties of the treated product absolutely unchanged.

Figure 3 illustrates a first embodiment of an apparatus for performing the method described above.

The apparatus, generally designated by the reference numeral 1, comprises a certain number of elements arranged in series along a hydraulic circuit, constituted by a tank 2 for the product to be treated, a pump 3, a preheater 4, an irradiation section 5, an insulated maintenance vessel 6, a cooling unit 7 and a tank 8 for collecting the treated product.

In particular, the irradiation section is constituted by a container 9 which is used to confine a certain amount of product between a pair of planar plates 10 and 11 which are connected to the terminals of a source 12 of electromagnetic waves. Said source, according to a per se known technology which is not a subject of the present invention, comprises a triode oscillator 13 and a power and amplifier circuit 14 supplied by an external electric power line 15. Downstream of the container 9, a temperature detector 16 sends a signal to an electronic control unit 17. By means of control unit 17, it is possible to adjust irradiation power and time according to the final temperature of the product. A further probe 18 is arranged after the preheater 4. Probe 18 is used to adjust the temperature of the product before it is sent to the irradiation section.

In this manner, the adjustment of the apparatus occurs automatically, so as to obtain the complete

destruction of the micro-organisms contained in the product, according to the final temperature after irradiation.

Figure 4 schematically illustrates the irradiation section of a second embodiment of an apparatus according to the invention.

In particular, the plates of the capacitor are constituted respectively by an external cylinder 30 and by a central electrode 31, wherein the two elements are kept in coaxial position by means of terminal insulating walls 32 and 33 so as to define a torus interspace 34. The product to be treated is fed into the chamber 34 through inlet and discharge ducts, respectively designated by 35 and 36, by means of a circulator 37. The radio-frequency source, designated by 38, does not differ substantially from the one illustrated in Figure 3.

Figure 5 illustrates another type of irradiation section according to the invention, generally designated by the reference numeral 40. Said section substantially consists of a lower plate 41 which has a pair of coaxial cylindrical walls 42 and 43 which are mutually connected by a planar lower wall 44 and by an upper plate 45. Upper plate 45 has a cylindrical wall 46 arranged coaxially to the cylindrical walls 42 and 43 of the lower plate 41. A coiled tube 50, with an inlet 51 and an outlet 52, is inserted in the interspace defined between the wall 45 of the upper plate and the wall 42 of the lower plate. A heat probe 53 and a cooling unit 54 are arranged in the portion 52 of the tube. After said cooling unit, the tube 50 enters the interspace defined between the wall 41 of the lower plate 40 and the wall 45 of the upper plate 44, forming a second coiled portion with an outlet section 55 provided with a heat probe 56. The temperature values detected by the probes 53 and 56 are sent to the control unit 60 in order to adjust the radiofrequency source 61, similarly to what has been described above.

The tube 50 is made of a dielectric material which is transparent to electromagnetic waves in order to limit the absorption of energy of the oscillating electromagnetic field, and is chosen so that it has a relative dielectric constant which is lower than that of the active components which determine the organic and organoleptic characteristics of the product to be preserved. Thus, the tube 50 constitutes a shield with regard to the resonance frequencies of said active components, protecting the latter against the destructive effects of the field.

In this case, the preservation process can be performed in two stages, separated by an intermediate cooling step performed by means of the exchanger 54, in order to limit the maximum temperature of the process.

Figure 6 schematically shows a further embodi-

ment of a continuous apparatus according to the invention, generally designated by the reference numeral 100.

Said apparatus comprises a tank 101, for example made of stainless steel, for storing the product to be treated. Tank 101 is connected to a container 102, similar to the preceding one, for collecting the treated product by means of a hydraulic circuit, generally designated by the reference numeral 103. A variable-delivery pump 104 is arranged in series to the tank 101. A shunt valve 105 allows to convey the product contained in the tank 101 through the pipe 106 of the circuit toward the primary circuit of a preheater 107, for example of the plate type in countercurrent, which uses the heated product itself as heating fluid.

After the preheater 107 there is a heater 108 which is similar to the preceding one but uses, as heating fluid, water at a temperature of approximately 85°C contained in an external circuit 109 which is provided with a driven bypass valve 110. A temperature detector 111 is provided at the output of the heater 108 and drives the valve 110 so as to keep the temperature of the product below the maximum temperature of the process (50°C) and in any case below the temperature at which the active principles which determine the organic and organoleptic properties of the product degenerate.

After the preheater 108 there is an irradiation section, generally designated by the reference numeral 112, of the open type and having a cylindrical symmetry, such as the one illustrated in Figure 5 and described earlier. The cylindrical and coaxial plates of the irradiation section 112 are connected to a triode oscillator 113 or the like which is set so as to generate radio waves having a frequency comprised between 6 and 915 MHz. The frequency and power of the electromagnetic field are selected according to the composition and bacterial concentration present in the product, which is determined beforehand by means of a sampling of bacterial swabs.

By virtue of the relatively long wavelength of the radio waves emitted by the irradiation section, the apparatus does not require a specific shielding for environmental safety purposes, with the consequence of limiting the complexity and cost of the facility and of allowing its use in conventional installations without risks for the assigned personnel.

As previously mentioned, the output product, from the irradiation section 112, is conveyed to the secondary circuit of the preheater 107 so as to recover part of the irradiation heat. The output product, from the secondary circuit of the preheater, cooled to approximately 30+35°C, is then fed into the main circuit of a cooling unit 114 which uses a mixture of water and glycol, kept at

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approximately 0°C by an adapted chiller, as cooling fluid. The product, cooled to a final temperature of approximately 15°C is conveyed through the pipe portion 115 toward the collecting container 102, from which it is drawn in order to be packaged in adapted containers and packages.

Conveniently, according to the invention, after the irradiation section 112 there is a region for maintaining the irradiated product at a substantially constant temperature, in order to allow the completion of the process for the destruction of the bacterial loads. Said maintenance region can be constituted by a "coulisse" shaped pipe 120 with appropriately insulated outgoing and return branches 121 and 122. By varying the length of the portions 121 and 122 it is possible to change the maintenance time, which can be comprised between 10 seconds and 1 minute depending on the persistence and concentration of the pathogenic microorganisms.

At the exit of the portion 122 of the maintenance region 120 there is a temperature detector 125 which sends an electric signal to a control unit 126 of the oscillator 113 so as to keep the final temperature of the irradiated product below 50 °C. An auxiliary cooling unit, not illustrated in the drawings, can optionally be provided between each turn of the dielectric duct of the irradiation section in order to ensure that the preset maximum temperature is never exceeded.

According to a further aspect of the invention, a tube portion 106' branches from the shunt valve 105 and directly conveys the product toward a second dielectric coiled portion of the tube which is arranged within the irradiation section 112. Said portion of the circuit is activated in order to perform, when required, a preventive treatment which is suitable for breaking the persistent bacterial masses and clots which are present, for example, in heavily contaminated milk.

The apparatus of Figure 6 allows to raise the temperature of the product with conventional thermal methods up to values proximate to the maximum temperature of the treatment, which is reached by means of an irradiation of radio waves having a limited power, with relatively low frequencies and with relatively long times comprised between 10 seconds and 1 minute and in any case markedly lower than those of conventional thermal devices.

The destructive energy used in the form of electromagnetic radiation thus constitutes a low percentage of the total energy transmitted to the product, minimizing the risks arising from the use of this form of energy which, next to its undeniable advantages, also has some environmental risks which must not be underestimated.

The apparatus according to the invention can in-

tegrate, or be arranged after, a fully conventional sterilization and/or pasteurization system in order to improve its operation.

Claims

- Method for the continuous sterilization and/or pasteurization of biological and alimentary products containing bacterial and sporal loads, particularly in the liquid or viscous state and non-packaged, characterized in that it comprises the steps of:
 - -- preheating a preset volume or flow-rate of the product to be treated up to a temperature which is proximate to the maximum temperature of the treatment and is in any case lower than the temperature at which the active principles which determine the organic and organoleptic properties of the product change;
 - -- irradiating said volume or said flow-rate of preheated product with an alternating electromagnetic field generated in an open space comprised between at least one pair of facing plates of a radio wave source with frequencies below 1 GHz and for a time comprised between 10 seconds and 1 minute, so as to heat the product to a maximum temperature of approximately 50 °C;
 - -- maintaining the product at a substantially constant temperature for a time comprised between 10 seconds and 1 minute, depending on the concentration of the bacterial loads, in order to complete and stabilize the destruction of the bacterial loads contained in the product;
 - -- cooling of the product to a temperature which is close to the ambient or packaging temperature.
- Method according to claim 1, characterized in that it comprises, ahead of the main irradiation step, a secondary irradiation step which is intended to break up bacterial clots of products with high bacterial concentration.
- Method according to claim 1, characterized in that the frequency of the alternating electromagnetic field can vary between 6 MHz and 915 MHz and is particularly comprised between 13 MHz and 27 MHz for milk and its byproducts.
- 4. Method according to claim 1, characterized in that the specific energy of the electromagnetic field can vary between 0.01 KW/I (10 KW/m³) and 0.1 KW/I (100 KW/m³) and is preferably

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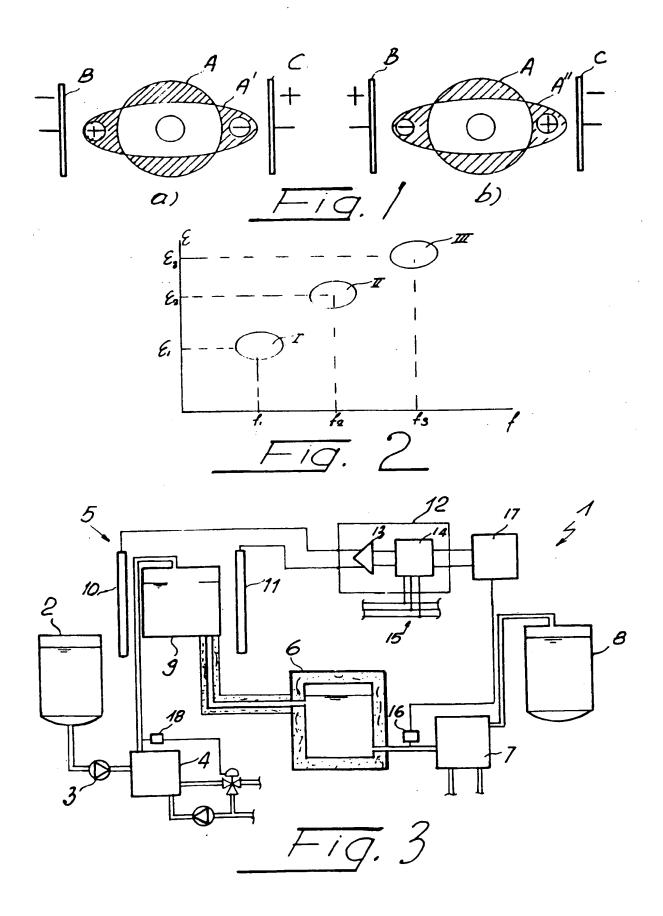
comprised between 0.02 KW/I (20 KW/m³) and 0.04 KW/I (40 KW/m³).

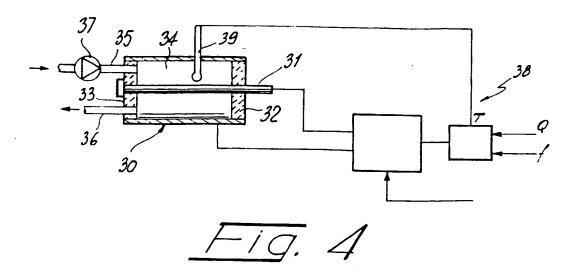
- Apparatus for the continuous sterilization and/or pasteurization of biological and alimentary products containing bacterial and sporal loads, particularly in the liquid or viscous state, comprising a circuit which is suitable for connecting a tank for the maintenance of the products to be treated to a container for collecting the treated products, means for irradiating the product with a high-frequency oscillating electromagnetic field, means for confining a given amount of product within said field, as well as means for adjusting the energy and frequency of the electromagnetic field and the irradiation time according to the composition and concentration of the bacterial loads, characterized in that said irradiation means comprise at least one pair of facing emitting surfaces connected to the terminals of an oscillator which operates in the range of radio frequencies below 1 GHz and is set so as to heat the product to a maximum temperature of approximately 50°C, a thermally insulated region being provided after said irradiation means in order to maintain the product at the maximum temperature of the treatment for a preset time sufficient to ensure the complete destruction of the bacterial and sporal loads contained in the prod-
- 6. Apparatus according to claim 5, characterized in that said confinement means are constituted by a portion of said circuit which has walls made of a dielectric material whose resistivity is lower than that of the active components which are responsible for the organic and organoleptic properties of the product to be treated.
- 7. Apparatus according to claim 5, characterized in that said facing emitting surfaces of said irradiation means have a generally cylindrical shape and are arranged coaxially so as to define at least one open interspace between them, said open interspace substantially having the shape of a torus.
- 8. Apparatus according to claim 5, characterized in that said facing emitting surfaces of said irradiation means have a substantially planar shape so as to define a substantially prismshaped open interspace, between them.
- Apparatus according to one or more of the preceding claims, characterized in that said dielectric portion of said circuit is substantially

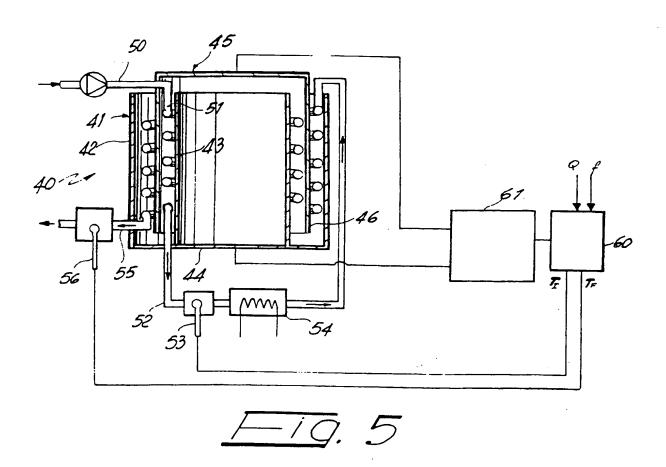
spiral-shaped and is arranged in the open interspace comprised between said facing emitting surfaces so as to form an irradiation section which is open outward.

- 10. Apparatus according to claim 5, characterized in that said maintenance section has a thermally insulated tube, along said circuit and after said irradiation section, sai tube having a length variable according to the type and concentration of the bacterial loads contained in the product to be treated.
- 11. Apparatus according to claim 9, characterized in that it comprises at least one exchanger, after said irradiation section, said at least one exchanger being adapted to preheat the product to be irradiated, said product, in output from the irradiation section, being the heating fluid of said exchanger.
- 12. Apparatus according to claim 6, characterized in that said confinement conditions comprise a secondary dielectric portion, ahead of said main dielectric portion along said circuit, said secondary dielectric portion being also arranged within the magnetic field of the irradiation section and being adapted to break up the bacterial clots contained in the product to be treated.

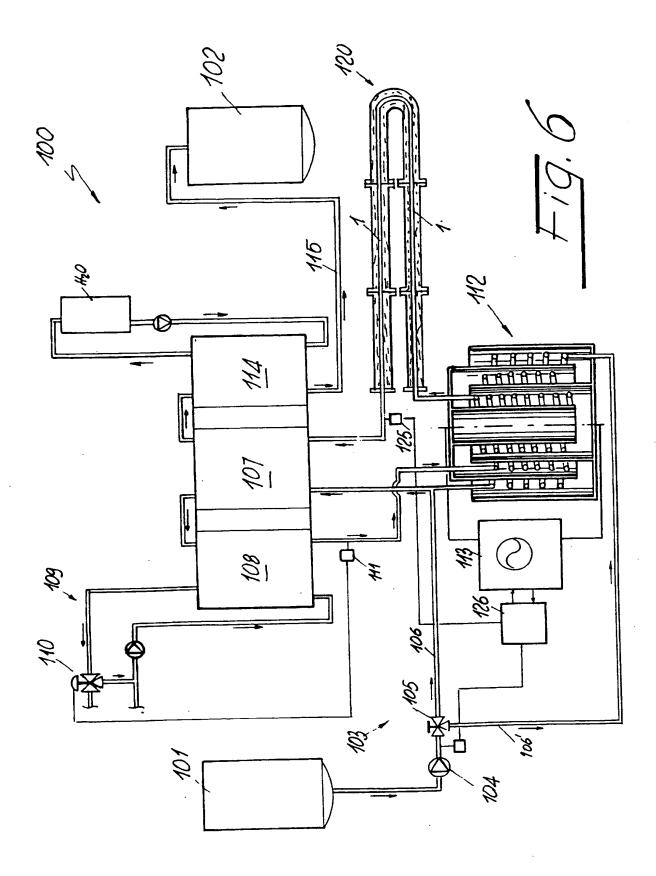
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EUROPEAN SEARCH REPORT

Application Number

EP 92 10 0231

DOCUMENTS CONSIDERED TO BE RELEVANT				
Category	Citation of document with i	ndication, where appropriate,	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
٧	US-A-2 508 365 (BIERWIF	ктн)	1,3,5,7, 8,11	A23L3/01 A61L2/12
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Υ	PATENT ABSTRACTS OF JAF vol. 011, no. 381 (C-46 & JP-A-62 151 167 (IW/ 1987		1,3,5,7, 8,11	A61L2/00
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A	WO-A-8 802 218 (STAMER) * page 10, line 1 - pag * page 12, line 1 - lin	e 11, line 23 *	1,2,5	TECHNICAL FIELDS SEARCHED (Int. Cl.5)
A	PATENT ABSTRACTS OF JAF vol. 012, no. 022 (C-47 & JP-A-62 175 150 (TOP July 1987 * abstract *		1	A23L A61L A23B
	The present search report has h	een drawn up for all claims		·
•	Place of search	Date of completion of the search		Excessions
THE HAGUE CATEGORY OF CITED DOCUMENTS X: particularly relevant if taken alone Y: particularly relevant if combined with another document of the same category A: technological background O: non-written disclosure P: intermediate document		E : carlier patent ster the fills ther D : éocument cit L : éocument cit	T: theory or principle underlying the invention E: earlier patent document, but published on, or after the filing date D: document cited in the application L: document cited for other reasons A: member of the same patent family, corresponding	

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